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SPECIAL REPORT

DC RECORD KEEPERS

Top DC record keepers ranked by number of sponsors

U.S. DC plan sponsors under record keeping, as of Dec. 31.

Rank	Record keeper	Sponsors	Rank	Record keeper	Sponsors
1	Paychex Inc.	52,000	29	T. Rowe Price Group Inc.	2,256
2	ING Retirement Services	50,903	30	The Newport Group	2,112
3	John Hancock Financial Services Inc.	44,187	31	Alerus Financial	2,090
4	Nationwide Financial	43,136	32	Lincoln Trust Co.	1,880
5	Bank of America Merrill Lynch	41,523	33	New York Life Investment Management LLC	1,724
6	AXA Equitable Life Insurance Co.	35,497	34	Vanguard Group Inc.	1,689
7	Principal Financial Group	30,755	35	MidAmerica Administrative & Retirement Solutions Inc.	1,571
8	Fidelity Investments	28,760	36	DailyAccess Corp.	1,300
9	VALIC	26,847	37	BB&T Institutional Services	1,221
10	TIAA-CREF	26,732	38	Charles Schwab	1,174
11	Ascensus	26,687	39	EPIC Advisors Inc.	1,133
12	Lincoln Financial Group	24,276	40	Correll Co.	988
13	Great-West Retirement Services	24,271	41	Milliman Inc.	853
14	MetLife Inc.	23,944	42	Marshall & Ilsley Trust Co. NA	769
15	Transamerica Retirement Services	14,930	43	J.P. Morgan Retirement Plan Services LLC	688
16	Security Benefit Group of Cos.	10,752	44	SunTrust Banks Inc.	643
17	American United Life Insurance Co.	9,860	45	Federated Investors Inc.	445
18	ICMA Retirement Corp.	7,143	46	Mercer	425
19	MassMutual Financial Group	6,470	47	USI Consulting Group	418
20	Wells Fargo & Co.	6,202	48	BOK Financial	410
21	Prudential Financial	4,624	49	McCready & Keene Inc.	256
22	Alliance Benefit Group Inc.	3,994	50	ACS, A Xerox Company	171
23	CPI Qualified Plan Consultants Inc.	3,957	51	Reed-Ramsey Inc.	152
24	Standard Insurance Co.	3,884	52	Aon Hewitt	104
25	UNIFI Cos. Retirement Plans	3,371	53	GAMCO Investors	6
26	Insperty	3,126			
27	Securian Retirement	2,557			
28	Diversified Investment Advisors Inc.	2,383			
			Total		587,249

Top DC record keepers ranked by participants

U.S. DC plan participants under record keeping, as of Dec. 31.

Rank	Record keeper	Participants	Rank	Record keeper	Participants
1	Fidelity Investments	14,963,600	28	MidAmerica Admin.	601,034
2	ING Retirement Services	5,419,944	29	Paychex	600,000
3	Aon Hewitt	4,653,374	30	Transamerica Retirement	576,102
4	Great-West Retirement	4,405,807	31	Milliman	562,046
5	BofA Merrill Lynch	3,668,627	32	Newport Group	404,726
6	Vanguard Group	3,460,645	33	American United Life	369,102
7	TIAA-CREF	3,165,741	34	Alliance Benefit Group	340,255
8	Principal Financial	3,104,123	35	Marshall & Ilsley	324,782
9	Wells Fargo	2,818,862	36	DailyAccess	295,000
10	Nationwide Financial	2,662,693	37	CPI Qualified	293,816
11	VALIC	2,496,269	38	BOK Financial	214,253
12	Prudential Financial	2,357,843	39	Securian Retirement	204,834
13	ACS, A Xerox Company	1,916,392	40	Security Benefit Group	196,302
14	T. Rowe Price Group	1,879,960	41	USI Consulting Group	193,726
15	J.P. Morgan Retirement	1,718,766	42	Alerus Financial	151,945
16	John Hancock Financial	1,660,965	43	BB&T Institutional Services	150,736
17	Diversified Investment	1,505,450	44	SunTrust Banks	126,655
18	Ascensus	1,409,951	45	UNIFI Retirement Plans	112,642
19	Lincoln Financial	1,374,109	46	McCready & Keene	85,181
20	Charles Schwab	1,257,399	47	EPIC Advisors	80,353
21	MetLife	1,222,054	48	Insperty	70,133
22	Mercer	1,189,545	49	Federated Investors	65,600
23	New York Life Inv. Mgmt.	1,140,555	50	Correll	47,000
24	MassMutual Financial	1,116,153	51	Reed-Ramsey	13,900
25	ICMA Retirement	816,203	52	Lincoln Trust	6,161
26	AXA Equitable Life	811,668	53	GAMCO Investors	538
27	Standard Insurance	612,262			
			Total		78,895,782

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SPECIAL REPORT

DC RECORD KEEPERS

Top DC record keepers ranked by assets

U.S. DC plan assets under record keeping, in millions, as of Dec. 31.

Rank	Record keeper	Assets	Rank	Record keeper	Assets
1	Fidelity Investments	\$940,488	28	Milliman	\$19,005
2	TIAA-CREF	\$327,228	29	AXA Equitable Life	\$18,639
3	ING Retirement Services	\$291,868	30	Standard Insurance	\$14,709
4	Aon Hewitt	\$288,205	31	Transamerica Retirement	\$14,471
5	Vanguard Group	\$273,805	32	Paychex	\$13,200
6	Wells Fargo	\$157,900	33	Alliance Benefit Group	\$11,464
7	Great-West Retirement	\$146,618	34	American United Life	\$10,084
8	J.P. Morgan Retirement	\$119,041	35	Securian Retirement	\$9,658
9	BofA Merrill Lynch	\$118,007	36	Alerus Financial	\$7,541
10	T. Rowe Price Group	\$113,544	37	DailyAccess	\$6,500
11	Prudential Financial	\$98,267	38	CPI Qualified	\$6,480
12	Principal Financial	\$96,805	39	BB&T Institutional Services	\$6,141
13	Charles Schwab	\$90,856	40	UNIFI Retirement Plans	\$5,333
14	Nationwide Financial	\$83,881	41	Security Benefit Group	\$4,954
15	ACS, A Xerox Company	\$71,600	42	BOK Financial	\$4,940
16	John Hancock Financial	\$63,388	43	SunTrust Banks	\$4,636
17	Mercer	\$62,249	44	EPIC Advisors	\$3,553
18	VALIC	\$55,381	45	USI Consulting Group	\$3,501
19	Diversified Investment	\$48,610	46	McCready & Keene	\$3,215
20	MassMutual Financial	\$47,967	47	Federated Investors	\$2,525
21	Lincoln Financial	\$38,824	48	Insperty	\$1,472
22	ICMA Retirement	\$35,640	49	Lincoln Trust	\$773
23	Ascensus	\$31,821	50	Correll	\$650
24	New York Life Inv. Mgmt.	\$29,392	51	MidAmerica Admin.	\$640
25	MetLife	\$28,991	52	Reed-Ramsey	\$304
26	Newport Group	\$22,120	53	GAMCO Investors	\$22
27	Marshall & Ilsley	\$21,777	Total		\$3,878,683

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