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SPECIAL REPORT

DC RECORD KEEPERS

Top DC record keepers ranked by number of sponsors

U.S. DC plan sponsors record kept, as of Dec. 31.

Rank	Record keeper	Sponsors
1	ING Retirement Services	52,429
2	Nationwide Financial	44,695
3	John Hancock Financial	43,763
4	AXA Equitable Life	42,202
5	Fidelity Investments	33,594
6	Principal Financial	33,116
7	Hartford Financial	30,877
8	VALIC	27,668
9	TIAA-CREF	26,894
10	Ascensus	25,537
11	ADP Retirement Services	24,927
12	MetLife	23,090
13	Great-West Retirement	22,379
14	Transamerica Retirement	15,971
15	American United Life	10,329
16	Security Benefit Group	10,291
17	ICMA Retirement	7,049
18	Wells Fargo	6,977
19	MassMutual Financial	6,577
20	Prudential Financial	5,010
21	Standard Insurance	4,023
22	Alliance Benefit Group	3,871
23	CPI Qualified	3,664
24	UNIFI Retirement Plans	3,519
25	Administaff	3,046
26	Lincoln Trust	2,621
27	Securian Retirement	2,587

Rank	Record keeper	Sponsors
28	Diversified Investment	2,280
29	T. Rowe Price Group	2,227
30	Alerus Financial	1,984
31	New York Life Inv. Mgmt.	1,784
32	Vanguard Group	1,736
33	Newport Group	1,714
34	BofA Merrill Lynch	1,650
35	DailyAccess	1,406
36	EPIC Advisors	1,348
37	BB&T Institutional Services	1,193
38	Charles Schwab	1,175
39	Correll	990
40	Pentegra	939
41	Milliman	823
42	Marshall & Ilsley	809
43	PNC Advisors	712
44	J.P. Morgan Retirement	707
45	SunTrust Banks	502
46	Mercer	500
47	Federated Retirement	456
48	Bank of Oklahoma	430
49	USI Consulting Group	405
50	McCready & Keene	339
51	ACS	158
52	Hewitt Associates	104
53	GAMCO Investors	11
	Total	543,088

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SPECIAL REPORT

DC RECORD KEEPERS

Top DC record keepers ranked by participants

U.S. DC plan participants record kept, as of Dec. 31.

Rank	Record keeper	Participants	Rank	Record keeper	Participants
1	Fidelity Investments	14,830,232	28	Transamerica Retirement	708,000
2	ING Retirement Services	6,462,006	29	Standard Insurance	571,764
3	Hewitt Associates	4,514,978	30	Milliman	551,694
4	Great-West Retirement	4,096,492	31	American United Life	371,259
5	Vanguard Group	3,462,771	32	Newport Group	339,792
6	Principal Financial	3,270,285	33	Alliance Benefit Group	337,464
7	TIAA-CREF	3,000,686	34	Marshall & Ilsley	324,256
8	Wells Fargo	2,832,567	35	CPI Qualified	289,166
9	BofA Merrill Lynch	2,811,629	36	PNC Advisors	236,747
10	Nationwide Financial	2,727,168	37	DailyAccess	227,105
11	Prudential Financial	2,351,675	38	Security Benefit Group	225,285
12	VALIC	2,187,922	39	Bank of Oklahoma	215,497
13	T. Rowe Price Group	1,867,119	40	Securian Retirement	204,358
14	J.P. Morgan Retirement	1,758,405	41	USI Consulting Group	181,448
15	John Hancock Financial	1,668,800	42	Alerus Financial	145,867
16	ACS	1,514,893	43	BB&T Institutional Services	142,352
17	Hartford Financial	1,413,964	44	SunTrust Banks	133,125
18	ADP Retirement Services	1,376,530	45	UNIFI Retirement Plans	117,848
19	Ascensus	1,314,285	46	EPIC Advisors	116,736
20	Diversified Investment	1,306,211	47	McCready & Keene	99,461
21	MetLife	1,266,790	48	Administaff	68,761
22	Charles Schwab	1,260,054	49	Federated Retirement	62,800
23	Mercer	1,110,654	50	Pentegra	61,768
24	MassMutual Financial	1,041,906	51	Correll	47,000
25	New York Life Inv. Mgmt.	914,303	52	Lincoln Trust	9,387
26	ICMA Retirement	807,271	53	GAMCO Investors	1,006
27	AXA Equitable Life	801,826		Total	77,761,36

Top DC record keepers ranked by assets

U.S. DC plan assets record kept, in millions, as of Dec. 31.

Rank	Record keeper	Assets	Rank	Record keeper	Assets
1	Fidelity Investments	\$838,176	28	AXA Equitable Life	\$16,625
2	TIAA-CREF	\$313,894	29	Milliman	\$16,446
3	ING Retirement Services	\$277,573	30	Newport Group	\$16,000
4	Hewitt Associates	\$249,052	31	Standard Insurance	\$13,582
5	Vanguard Group	\$240,359	32	Transamerica Retirement	\$11,440
6	Wells Fargo	\$135,380	33	Alliance Benefit Group	\$10,000
7	Great-West Retirement	\$122,773	34	PNC Advisors	\$9,924
8	J.P. Morgan Retirement	\$109,946	35	American United Life	\$8,813
9	T. Rowe Price Group	\$100,574	36	Securian Retirement	\$8,413
10	BofA Merrill Lynch	\$95,474	37	DailyAccess	\$6,225
11	Prudential Financial	\$87,752	38	Alerus Financial	\$6,200
12	Principal Financial	\$86,349	39	CPI Qualified	\$5,251
13	Charles Schwab	\$79,218	40	UNIFI Retirement Plans	\$5,137
14	Nationwide Financial	\$75,904	41	BB&T Institutional Services	\$5,074
15	Mercer	\$57,685	42	EPIC Advisors	\$4,850
16	ACS	\$57,244	43	Security Benefit Group	\$4,727
17	VALIC	\$54,148	44	Bank of Oklahoma	\$4,342
18	John Hancock Financial	\$54,129	45	SunTrust Banks	\$4,313
19	Hartford Financial	\$42,424	46	McCready & Keene	\$3,487
20	Diversified Investment	\$37,416	47	USI Consulting Group	\$3,111
21	MassMutual Financial	\$36,292	48	Pentegra	\$2,670
22	ICMA Retirement	\$31,378	49	Federated Retirement	\$2,245
23	ADP Retirement Services	\$30,073	50	Administaff	\$1,192
24	Ascensus	\$25,980	51	Lincoln Trust	\$1,001
25	MetLife	\$25,740	52	Correll	\$444
26	New York Life Inv. Mgmt.	\$24,616	53	GAMCO Investors	\$35
27	Marshall & Ilsley	\$19,608		Total	\$3,480,704

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